



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stephen K. Pinto et al. Art Unit : 2123 Serial No. : 10/826,949 Examiner : Unknown

Filed : April 16, 2004

Title : DIMENSION REDUCTION IN PREDICTIVE MODEL DEVELOPMENT

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to deposit account no. 06-1050, referencing 17146-005001.

Respectfully submitted,

David L. Feigenbaum Reg. No. 30,378

Date: 2 4 5

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I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient nostage on the date indicated below and is addressed to the Commissioner for Patents P.O. Box 1450. Alexandria, VA 22313-1450.

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Substitute Form

Form PTO-1449

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 17146-005001

Application No. 10/826,949

Information Disclosure Statement by Applicant

(Use several sheets if necessary)

Stephen K. Pinto et al.

Filing Date

Applicant

Group Art Unit

2123

(37 CFR §1.98(b))

April 16, 2004

U.S. Patent Documents Examiner Desig. Document Publication Filing Date Initial ID Number Date Patentee Class Subclass If Appropriate AA 5,692,107 11/25/1997 Simoudis et al. AB 5,826,250 10/20/1998 Trefler AC 6,185,543 02/06/2001 Galperin et al. AD 6,269,325 07/31/2001 Lee et al. **AE** 6,317,752 11/13/2001 Lee et al. AF 6,640,215 10/28/2003 Galperin et al. AG 6,542,894 04/01/2003 Lee et al. AΗ 6,631,360 10/07/2003 Cook 08/24/2004 Lee et al. ΑI 6,782,390 Galperin et al. 2002/0052836 05/02/2002 ΑJ 2003/0018601 01/23/2003 Lee et al. AK AL

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or			Trans	slation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
	AM	WO 99/22328	05/06/1999	WIPO				
	AN							
	AO							

Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document		
	ΛP	Commercial software: SPSS Clementine: www/spss.com/Clementine/index.htm		
_	AQ	"On-line Seminar: How to keep customers and increase profits using predictive analytics powered by Clementine", www.spss.com/spssbi/directresponse/elementinewebinar/index.etim/decode=d4183		
	AR	"SAS Enterprise Miner", www/sas.com/technologies/analytics/datamining/miner		
	AS	SAS JMP. www.imp.com		
	AT	Cliffold C. Clogg, et al., "Multiple Imputation of Industry and Occupation Codes in Census Public-Use Samples Using Bayesian Logistic Regression", <u>Journal of the American Statistical Association</u> , Vol. 86, No. 413, pp. 68-78 (March 1991)		

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17146-005001	Application No. 10/826,949	
by Ap	losure Statement plicant	Applicant Stephen K. Pinto et al.		
(Use several sheets if necessary) (37 CFR §1.98(b))		Filing Date April 16, 2004	Group Art Unit 2123	

Other Documents (include Author, Title, Date, and Place of Publication)			
Examiner Initial	Desig. ID	Document	
	BA	Roderick J.A. Little et al., Statistical Analysis with Missing Data (2 nd Edition), John J. Wiley & Sons, Inc., (2002), pp. 19-22; 166-188; and 292-309.	
	BB	Donald B. Rubin, "Using Empirical Bayes Techniques in the Law School Validity Studies", <u>Journal of the American Statistical Association</u> , Vol. 75, No. 372, pp. 801-816 (December 1980)	
	BC		
	BD		

Examiner Signature Date Considered EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.